

Journal of Climate
Dynamics of the Disrupted 2015-16 Quasi-Biennial Oscillation
--Manuscript Draft--

Manuscript Number:	JCLI-D-16-0663
Full Title:	Dynamics of the Disrupted 2015-16 Quasi-Biennial Oscillation
Article Type:	Article
Abstract:	<p>A significant disruption of the Quasi-Biennial Oscillation (QBO) occurred during the Northern Hemisphere (NH) winter of 2015-16. Since the QBO is the major wind variability source in the tropical lower stratosphere and influences the rate of ascent of air entering the stratosphere, understanding the cause of this singular disruption may provide new insights into the variability and sensitivity of the global climate system. Here we examine this disruptive event using global reanalysis winds and temperatures from 1980-2016. Results reveal record maximums in tropical horizontal momentum fluxes and wave forcing of the tropical zonal mean zonal wind over the NH 2015-16 winter. The Rossby waves responsible for these record tropical values originated in the NH and were focused strongly into the tropics at the 40 hPa level.</p>

Dynamics of the Disrupted 2015-16 Quasi-Biennial Oscillation

Lawrence Coy*

NASA GSFC, Greenbelt, MD, USA and SSAI, Lanham, MD, USA

Paul A. Newman, Steven Pawson

NASA GSFC, Greenbelt, MD, USA

Leslie R. Lait

NASA GSFC, Greenbelt, MD, USA and Morgan State University, MD, USA

*Corresponding author address: Lawrence Coy, NASA GSFC Code 610.1, Greenbelt, MD,
MD/USA.

E-mail: lawrence.coy@nasa.gov

ABSTRACT

11 A significant disruption of the Quasi-Biennial Oscillation (QBO) occurred
12 during the Northern Hemisphere (NH) winter of 2015–16. Since the QBO
13 is the major wind variability source in the tropical lower stratosphere and in-
14 fluences the rate of ascent of air entering the stratosphere, understanding the
15 cause of this singular disruption may provide new insights into the variability
16 and sensitivity of the global climate system. Here we examine this disrup-
17 tive event using global reanalysis winds and temperatures from 1980–2016.
18 Results reveal record maximums in tropical horizontal momentum fluxes and
19 wave forcing of the tropical zonal mean zonal wind over the NH 2015–16 win-
20 ter. The Rossby waves responsible for these record tropical values originated
21 in the NH and were focused strongly into the tropics at the 40 hPa level.

22 **1. Introduction**

23 The Quasi-Biennial Oscillation (QBO) consists of downward propagating easterly and west-
24 erly zonal wind regimes in the tropical lower stratosphere (100–10 hPa, ~18–30 km in altitude)
25 with a varying (~28 month) period (see Baldwin et al. 2001, and references therein). The QBO
26 has been a persistent characteristic of the tropical lower stratosphere since observations began in
27 1953. However, a significant disruption of the QBO occurred during the Northern Hemisphere
28 (NH) winter of 2015–16 (Newman et al. 2016) and several features of this singular disruption,
29 as noted in Newman et al. (2016), imply that a different mechanism may have been responsible
30 for the disrupting accelerations than the vertically propagating waves responsible for the QBO.
31 Most noticeably, anomalous easterly accelerations occurred in the center of the QBO westerlies,
32 a region of weak vertical wind shear, rather than in the strong vertical wind shear regions as has
33 been typically observed. A possible source of this anomalous tropical easterly acceleration would
34 be mid-latitude Rossby waves propagating upward from the troposphere into the stratosphere and
35 then equatorward. Here we investigate the characteristics of the wave motions associate with this
36 anomalous easterly acceleration.

37 The basic QBO mechanism is forced by vertically propagating equatorial waves (Lindzen and
38 Holton 1968). Selective filtering of vertically propagating waves by the QBO wind distribution
39 coupled with the tendency of the waves to break, deposit momentum, and thereby dissipate in
40 regions of the QBO wind shear produce appropriately signed zonal wind accelerations that effec-
41 tively lower the shear regions by approximately 1 km month^{-1} . Thus the strength of the wave
42 forcing determines the QBO period. The waves responsible are a mix of global scale eastward
43 propagating Kelvin waves, westward propagating equatorial Rossby-gravity waves and smaller
44 scale eastward and westward propagating gravity waves, all originating in the troposphere (Holt

45 et al. 2016). Even relatively small zonal accelerations can build strong equatorial winds over time
46 as the lack of the Coriolis force at the equator enables the winds to continue in the direction of the
47 acceleration rather than turning as at mid-latitudes.

48 In contrast to the typical downward propagation of the QBO, based on wave-induced acceler-
49 ations in the regions of vertical wind shear, Newman et al. (2016) found easterlies developing in
50 the region of strong westerlies. Since vertical propagating equatorial and gravity waves are not
51 expected to break in this region, there is the possibility that Rossby waves, originating outside
52 the tropics may be breaking as they encounter horizontal wind shears associated with the QBO.
53 An upward-equatorward pattern is typical of Rossby wave propagation in the winter stratosphere
54 (Hamilton 1982), however the effect of Rossby waves on the equatorial winds has been considered
55 to be small based on idealized model experiments that showed Rossby waves interacting with the
56 edges of the QBO westerly jet but not changing the magnitude of the jet (O’Sullivan 1997). Given
57 the structure of the anomalous QBO evolution observed during 2015–16, the potential of Rossby
58 waves to significantly affect the QBO needs to re-examined.

59 To characterize the wave forcing responsible for the disruption of the QBO we examine the
60 Rossby wave equatorial momentum forcing during the 2015–16 NH winter using global reanalysis
61 winds and temperatures from 1980–2016. After describing the data sets used and the analysis
62 procedure (Section 2), we present the mean equatorial momentum fluxes and their divergences
63 along with the evolution of the zonal mean zonal wind (Section 3), followed by a summary and
64 discussion of the results (Section 4).

65 **2. Data and Methods**

66 For this study we use two output collections from the Modern-Era Retrospective analysis for
67 Research and Applications-Version 2, MERRA-2 (Bosilovich et al. 2015): the 3 hourly instanta-

68 neous on model levels (GMAO 2015a) and monthly averages on constant pressure levels (GMAO
69 2015b). MERRA-2 begins in January 1980 and is ongoing. The stand-alone MERRA-2 model
70 component generates its own QBO (Molod et al. 2015), thereby reducing reliance on observations
71 for the assimilated QBO (Coy et al. 2016). Time altitude cross sections of the MERRA-2 QBO
72 zonal mean zonal winds from 1980–2012 are shown in Kawatani et al. (2016).

73 A QBO composite from MERRA-2 was generated based on the date of the change from zonal
74 mean easterlies to westerlies at 30 hPa. The zonal mean zonal winds from the 3 hour collection
75 were averaged over a day and from 10°S–10°N before selecting the composite dates of the wind
76 sign change. The composite QBO averages different times of year so that the annual and semi-
77 annual cycles tend to averaged to zero, however, the specific years examined, 2014–16, have both
78 annual and semi-annual cycles present. To compare without the annual and semi-annual cycles,
79 the monthly averages over the years 1980–2014 were removed when constructing the deviation of
80 2014–16 from the composite (Fig. 1c). This procedure mainly removed a semi-annual signal at the
81 upper levels shown. The standard deviation of the composite (Fig. 1d) was multiplied by a factor
82 of $\sqrt{2}$ to estimate the amplitude of the variability.

83 The Eliassen-Palm flux vectors (EP flux, see Andrews et al. 1987, page 128) are proportional
84 to Rossby wave meridional and vertical group velocities and amplitudes. The EP flux divergence
85 accelerates the zonal mean zonal wind. For this study the EP flux was calculated using the monthly
86 averaged MERRA-2 data collection. These contain the meridional heat and momentum fluxes
87 $(\overline{v'T'})$ and $\overline{u'v'}$ where u' , v' , and T' are zonal wind component, meridional wind component, and
88 temperature respectively, the prime denotes a deviation from the zonal mean, and the overbar
89 denotes a zonal mean) needed for the EP flux calculation, however, the vertical flux of zonal wind
90 fields, $\overline{u'w'}$ (where w is vertical velocity), is not included in the collection, so this term in the
91 vertical component of the EP flux was neglected. This term is generally small for planetary scale

92 waves that are being considered here and even for tropical waves, such as the Kelvin wave, and
93 smaller scale gravity waves, the EP flux convergence should be small in the region of interest near
94 40 hPa since the vertical wind shear is small there during the 2015–16 winter, suggesting little
95 wave breaking and dissipation of these vertically propagating waves. Plotting the EP flux vectors
96 can be problematic as they decrease in amplitude at upper levels and in the tropics. To address this
97 issue they are plotted only over a limited altitude (70 hPa and above) and latitude (30°S-30°N)
98 range.

99 Along with the EP flux vector, we examine the heat and momentum fluxes. Since the tropical
100 momentum and heat fluxes are generally an order of magnitude smaller than their winter middle
101 latitude values and decrease with altitude, we have normalized these fluxes by their local standard
102 deviations when comparing their relative values from during individual years. The monthly aver-
103 aged heat and momentum fluxes (GMAO 2015b) were first zonally averaged and then the mean
104 and standard deviations were calculated at each latitude and vertical level over the MERRA-2 time
105 period (1980-2014, 36 or 37 monthly averaged values). After subtracting the multi-year monthly
106 mean, the fluxes were then divided by the monthly standard deviation for each location, providing
107 normalized values in terms of the local standard deviations.

108 The response of the mean meridional circulation to the disrupted QBO was examined calculating
109 the residual mean meridional circulation and plotting the vertical component, \bar{w}^* , using the same
110 data sets as in the EP flux calculation described above. To focus on the perturbation the multi-year
111 monthly average values (Dec 1981 – Feb 2015) were subtracted from each month before averaging
112 for the winter season (Dec 2015 – Feb 2016).

113 3. Results

114 The 2015-16 QBO was highly disrupted from its normal behavior. Figure 1 illustrates the
115 time height structure of the MERRA-2 zonal mean zonal wind (Fig. 1a), showing that the Global
116 MERRA-2 winds agree well with the local radiosonde winds shown in Newman et al. (2016). The
117 typical zonal wind pattern descent is interrupted by anomalous easterlies developing at 40 hPa in
118 early 2016 along with the striking ascent of the westerly winds that began in late 2015. In compar-
119 ison, the composite of the past 14 MERRA-2 QBO cycles (Fig. 1b) shows the typical descending
120 shear zones. As in the longer radiosonde record (Newman et al. 2016) the global means show that
121 the duration of the QBO westerlies at 40 hPa and easterlies at 10 hPa were approximately half of
122 their typical duration.

123 The 2015-16 QBO anomaly with respect to the composite (Fig. 1c, the difference between
124 Figs. 1a and b, with the annual and semi-annual cycles removed) shows the vertical extent and
125 timing of the QBO disruption. The easterly anomaly at 40 hPa develops over the Nov 2015 –
126 Apr 2016 time period along with the nearly simultaneous development of the westerly anomaly at
127 10 hPa. Note that the time scale for the appearance of the anomaly at all altitudes (a change over
128 15 km within a month) is much greater than the usual QBO descent time scale (1 km month^{-1}),
129 another indication that the 2015-16 dynamics differ from the typical QBO dynamics. The standard
130 deviation of the 14 QBO cycle composite (Fig. 1d) shows that most of the QBO variability usually
131 occurs in the downward progressing shear zones in agreement with Pawson et al. (1993). Thus the
132 downward westerly shear zone in 2014 and early 2015 shows expected variability, while the Dec
133 2015 and later anomaly pattern occurs in regions of weak vertical wind shear and generally low
134 variability indicating an unexpected perturbation of the QBO.

135 Rossby wave activity propagation from the NH into the tropics is proportional to the negative
136 of the horizontal momentum flux ($-\overline{u'v'}$, see Andrews et al. 1987, chapter 5). Figure 2 shows the
137 time series of the 10°S–10°N, 40 hPa monthly averaged horizontal momentum flux (red curve)
138 for the MERRA-2 time period. The largest peak is seen in the Dec 2015–Feb 2016 period. The
139 Feb 2016 peak is about 50% greater than the Jan 2011 maximum. The Dec 2015 and Jan 2016
140 values are approximately the same as the Jan 2011 peak. Thus, the NH 2015-16 40 hPa level had
141 the greatest Rossby wave activity observed in the 35-year MERRA-2 period.

142 The southward propagating Rossby waves led to the this historic deceleration of the tropical
143 QBO westerlies. Fig. 2 shows the monthly averaged 10°S–10°N EP flux divergences or wind ac-
144 celerations (blue curve), where negative values correspond to EP flux convergence and a negative,
145 or easterly zonal wind acceleration. The large amplitude negative peak corresponds to Feb 2016,
146 where there were large momentum fluxes (red curve) and an easterly acceleration of the equatorial
147 winds (gray curve). As with the momentum fluxes, this peak is the largest seen at 40 hPa over the
148 35-year MERRA-2 period.

149 The mean flow changes can be traced backward to the subtropics using EP flux vectors. This
150 wave propagation can be seen in the monthly mean winds and EP fluxes for the 2015–16 winter
151 in Fig. 3. In November the equatorial QBO westerlies are centered at about 40 hPa with easterlies
152 above. The November EP flux arrows show waves propagating into these westerlies, and across
153 the equator — a pattern that is not atypical for QBO westerlies. However, as shown in Table 1 and
154 discussed below, the momentum flux convergence is much stronger than in any of the previous
155 westerly phases. December shows wave propagation across the equator and the start of small
156 easterly perturbation intruding toward the equator. During the Jan–Feb period the westerlies are
157 split into two maxima with development of easterlies at 40 hPa. In March the easterlies are fully
158 developed, and continue to increase their vertical extent. By April, easterlies completely surround

159 the separated upper westerly jet. During the Nov-Feb time period the average EP fluxes extended
160 horizontally across the equator, indicating that Rossby waves, propagating from the sub-tropics
161 and mid-latitudes, provided much of the forcing responsible for the zonal mean wind changes.

162 Nov-Dec 2015 still had equatorial westerlies at 40 hPa. Two years earlier, in Nov-Dec 2013,
163 there were also equatorial westerlies at 40 hPa, however, the wave forcing was historically large
164 during Nov-Dec 2015. This can be seen in Table 1 where the monthly mean momentum flux con-
165 vergence between 10°S and 10°N is compared for these two NH winters. While the convergence
166 is relatively small in November in both years, Dec 2015 is nearly seven times larger (5th row). The
167 larger flux convergences continue into Jan-Mar with Jan 2016 nearly double and Feb 2016 nearly
168 triple the 2014 values. Note that the Feb 2016 momentum flux convergence ($\sim 3 \text{ m s}^{-1} \text{ month}^{-1}$)
169 accounts for most of the $4.35 \text{ m s}^{-1} \text{ month}^{-1}$ February EP flux convergence (Fig. 2), indicating
170 the importance of horizontal wave propagation in creating the disrupting easterlies.

171 Table 1 also compares the 2015-16 tropical moment flux convergence values to means and
172 standard deviations of all the NH winter months with equatorial westerlies at 40 hPa. Months with
173 equatorial westerlies have larger convergences than months with easterlies. Even so, the 2015-16
174 values are over three times larger than the mean values during Nov-Feb (6th row). The values
175 seen in Dec-Mar 2015-16 are well over twice the standard deviation of past months (7th row).
176 Only two NH winters during Jan 1980-Mar 2015 had months with momentum flux convergences
177 greater than $2 \text{ m s}^{-1} \text{ month}^{-1}$, Dec 1987, Feb 1988 and Dec 2010, Jan 2011, and these values
178 were less than the Jan-Feb 2015 values.

179 Wave activity in the tropics was much higher during the 2015-16 QBO than during the compa-
180 rable 2013-14 QBO. The increased wave activity in 2015 compared to 2013 is illustrated in Fig. 4,
181 a plot of EPV at 40 hPa averaged over December. The same mean climate EPV field has been
182 subtracted from both years to highlight the perturbations. From about 15°S to 30°N, southwest

183 to northeast sloping, EPV anomalies are seen during 2015 (Fig. 4a) while 2013 shows smaller
184 amplitude, more zonally oriented EPV anomalies. The zero zonal wind at this time is located at
185 $\sim 15^\circ\text{S}$ so the 2015 EPV orientations are consistent with positive momentum fluxes in the region of
186 westerlies. Note that the SH vortex lasted late into Dec 2015 as denoted by the low EPV anomaly
187 near the South Pole.

188 Fig. 4 shows only the time-averaged EPV features, however, transient waves also played a role
189 in the stronger momentum flux values during the 2015–16 winter. Figure 5 shows an instantaneous
190 (20 December 00 UTC) comparison of EPV fields for the two winters (2013–14, 2015–16) inter-
191 polated to the 530 K potential temperature surface. At this time, in the tropics, the 530 K potential
192 temperature surface is at ~ 40 hPa pressure altitude. The figure is colored to highlight (in green)
193 the tropical EPV values. While tropical waves are present in both figures, the amplitudes (latitudi-
194 nal extent) of the the disturbances are greater in 2015. There are approximately four waves present
195 at this time (zonal wavenumber 4) though the spacing is irregular indicating a broad spectrum of
196 zonal wavenumbers. These waves tilt from southwest to northeast with increasing longitude, in-
197 dicated positive horizontal momentum fluxes and hence, north to south wave propagation. These
198 tilts are much more apparent in 2015. Figure 5 is a frame taken from an animation covering the
199 2013–14 and 2015–16 Nov–Mar winters that is available as supplementary material.

200 While all the 2015–16 months had average or above average tropical momentum fluxes, the val-
201 ues for February 2016 were especially notable. Figure 6 shows the standard deviation normalized
202 momentum and heat fluxes at 40 hPa as a function of latitude. The range of the previous Febru-
203 aries (1980–2014) is given by the gray shading. The February 2016 momentum flux (Fig. 6a) is
204 nearly 10 standard deviations above the climatology at 10°S . The next largest value is in 1983 at
205 nearly 4 standard deviations, much less than the 2016 value. The 2016 momentum flux values
206 are greater than 5 standard deviations from 20°S – 15°N . As with the momentum fluxes the 2016

207 heat flux (Fig. 6b) stands out from the other years with only 1983 showing an equal peak value
208 at 20°N (gray shading). Note that the 2016 heat fluxes are mainly positive north of the equator
209 and negative south of the equator indicating upward wave propagation (vertical EP flux vectors)
210 in both hemispheres.

211 Figure 7 shows February normalized momentum fluxes as a function of latitude and pressure
212 for four selected years: 2016, 2014, 2011, and 1998. The large tropical values during 2016 are
213 strongly focused at the 40 and 30 hPa levels with values greater than 9 standard deviations. Febru-
214 ary 2016 also shows relatively large positive values (>3) at 30°N and 100 hPa. The comparison
215 year, 2014 (Fig. 7c), shows positive fluxes at 40 hPa in the tropics, however, they are much smaller
216 (<2) than the 2016 values and most of the domain shows negative values. As in 2013–14, during
217 2010-11 westerlies continued throughout the winter, including February 2011 (Fig. 7c), however,
218 February 2011 resembles 2014 more than 2016 with tropical momentum fluxes at 40 hPa peak-
219 ing near 2 standard deviations. February 1998 (Fig. 7d), like 2014, was concurrent with a strong
220 ENSO (El Niño Southern Oscillation) event along with westerlies in the equatorial lower strato-
221 sphere, however, the tropical values are more in agreement with 2014 and 2011 than with 2016.
222 Overall, the 2014, 2011, and 1998 winters show negative momentum fluxes at 30°N and 100 hPa,
223 in contrast to 2016.

224 Figure 8 compares the February heat fluxes for the same four years. The largest values (-5 to 4
225 standard deviations) are found in 2016 at 50 hPa in the tropics. As at 40 hPa (Fig. 6d), the field
226 switches sign across the equator indicating a strong upward EP flux component over most of the
227 tropics. There are also stronger positive and negative values during 2016 in the Northern Hemi-
228 sphere upper troposphere (20-60°N, 150 hPa) than is seen in the other three years. Fig. 8 suggests
229 that the tropical waves during 2016 are stronger than average, even in the Southern Hemisphere

230 lower stratosphere. These results suggest that any waves propagating into the tropics or being
231 forced in the tropics during 2016 would tend to propagate upward as well.

232 Along with strong tropical wave activity throughout the 2015–16 winter, there was an especially
233 large amplitude tropical wave breaking event during early February 2016. Figure 9 shows the
234 evolution of this feature in EPV on the 530 K potential temperature surface at 5 day intervals. The
235 winter polar vortex (red shading) displayed a strong wavenumber 2 pattern on 31 January 2016
236 (Fig. 9a) that interacted with the tropical EPV (green shading) near 90°E longitude. This produced
237 an intrusion of subtropical air (transparent shading) into the tropics and a wide-in-latitude “knot”
238 of tropical EPV formed and propagated westward over equatorial Africa (Fig. 9b). By 10 February
239 (Fig. 9c) the disturbance continued to propagate westward over the Atlantic Ocean and extended
240 from South American to Africa. While the westward propagation slowed somewhat, 15 February
241 found the EPV disturbance centered over South America with a long tail of tropical EPV extending
242 south of the equator over the Western Pacific. (Note that an animation of Fig. 9 is available as
243 supplemental material.)

244 As noted by Newman et al. (2016) and seen in Fig. 1 the normally downward propagating west-
245 erlies showed an upward propagation (or displacement) in 2016 at altitudes above ~ 30 hPa in the
246 lower stratosphere. Figure 10 plots the Dec 2015–Feb 2016 vertical component of the residual
247 mean circulation (with multi-year means removed), \bar{w}^* . The calculated \bar{w}^* field shows upward
248 motion above ~ 40 hPa centered at $\sim 5^\circ$ S. The upward values of ~ 1 km month $^{-1}$ are the same
249 order of magnitude as the observed upward displacement and suggest that the meridional circu-
250 lation response to the easterly acceleration at 40 hPa played a major role in the observed upward
251 displacement.

252 **4. Summary and Conclusions**

253 The disruption of the QBO mean zonal wind during the 2015–16 NH winter was associated with
254 record strong stratospheric tropical wave activity. This disruption was well captured by MERRA-2
255 (Fig. 1). The mean wind disruption was the most dramatic seen since regular observation of the
256 QBO began (Newman et al. 2016). Associated with this record disruption, the tropical wave mo-
257 mentum flux at 40 hPa, after very strong values during Dec–Jan, attained a record peak value in Feb
258 2016 (Fig. 2), the largest in magnitude of any month during the 35-year MERRA-2 time period.
259 This tropical wave activity was especially focused at the 40 hPa level (Figs. 6 and 7). Initially
260 in Nov–Dec 2015, the wave momentum fluxes crossed the equator, reaching the SH easterlies.
261 The SH easterlies at 40 hPa then intruded toward and eventually crossed the equator, effectively
262 splitting the QBO westerlies (Fig. 3).

263 In summary, the boreal winter of 2015-16 showed:

- 264 ● record strong momentum and heat fluxes in the tropical lower stratosphere consistent with
265 southerly and upward wave propagation.
- 266 ● the lower stratosphere QBO westerlies were split vertically from south to north in time.
- 267 ● a large amplitude tropical wave breaking event occurred in February 2016.

268 There is still the question of what forced the NH wave generation necessary to cause the 2015–
269 16 QBO disruption. Comparisons with the next most recent QBO westerly NH winter (2013–14)
270 also showed waves in the equatorial region (Table 1 and Figs. 4 and 5). However, in 2013–14 the
271 waves were not of sufficient magnitude to disrupt the QBO, and westerlies prevailed throughout
272 the winter. The 2015–16 increased wave forcing could have resulted from the naturally large
273 stratospheric-tropospheric internal variability, or possibly be tied to specific variability such as that
274 associated with ENSO or changed global climate patterns. In particular Newman et al. (2016)

275 (their Fig. 4) showed that the tropical upper tropospheric temperatures were much warmer than
276 the MERRA-2 climate record. Such warm temperatures may affect tropical and middle latitude
277 wave generation and propagation.

278 Along with the specific cause of the increased wave forcing there is the need to understand
279 why the waves were focused near 40 hPa in altitude. This wave focusing allowed the full wave-
280 induced easterly acceleration to be applied consistently over several months, adding up to the
281 significant rearrangement of the tropical lower stratospheric winds by the end of March 2016.
282 One possibility is to examine the so-called refractive index (Matsuno 1970) to identify subtle
283 differences in wave propagation pathways between 2015-16 and previous winters. The intrusion of
284 the easterlies resulting from Rossby waves is unexpected given the modeling results of O'Sullivan
285 (1997) generally showing only changes in the zonal mean wind gradients and not the equatorial jet
286 maximum. However, O'Sullivan (1997) also showed that, for one choice of modeling parameters,
287 the QBO westerly jet maximum was reduced. Future work could consider a wider range of model
288 parameters to better characterize the conditions under which Rossby waves can significantly alter
289 the QBO jet.

290 More detailed diagnostic and model forecast studies are needed to resolve meridional circulation
291 changes associated with this 2015-16 disrupted QBO and to test the ability of seasonal forecast
292 systems to encompass and predict such a disruption of the QBO. The splitting of the easterlies and
293 the upward progression of the westerlies will also disrupt the QBO mean meridional circulation
294 Plumb and Bell (1982) and hence the transport and distribution of stratospheric trace gases and
295 aerosols. In particular, the upward progression of westerlies observed may be a direct response to
296 induced changes in the mean meridional circulation (Fig. 10). Along with developing the ability to
297 forecast a major disruption of the QBO, this event may require re-evaluation of the QBO seasonal
298 prediction skill (Scaife et al. 2014).

299 *Acknowledgments.* This research was performed with funding from the NASA Modeling, Anal-
300 ysis and Prediction program and the NASA Atmospheric Composition Modeling and Analysis
301 Program. The MERRA-2 reanalysis fields were obtained from the NASA Earth Observing Sys-
302 tem Data and Information System (<https://earthdata.nasa.gov>). The specific MERRA-2 fields used
303 are listed in the references.

304 **References**

305 Andrews, D. G., J. R. Holton, and C. B. Leovy, 1987: *Middle Atmosphere Dynamics*. Academic
306 Press, 489 pp.

307 Baldwin, M. P., and Coauthors, 2001: The quasi-biennial oscillation. *Rev. Geophys.*, **39**, 179–229.

308 Bosilovich, M. G., and Coauthors, 2015: MERRA-2: Initial Evaluation of the Climate. NASA
309 Tech. Rep. Series on Global Modeling and Data Assimilation, NASA/TM-2015-104606, Vol.
310 39, NASA, 136 pp.

311 Coy, L., K. Wargan, A. M. Molod, W. R. McCarty, and S. Pawson, 2016: Structure and dy-
312 namics of the quasi-biennial oscillation in MERRA-2. *J. Clim.*, **29**, 5339–5354, doi:10.1175/
313 JCLI-D-15-0809.1.

314 GMAO, 2015a: Global Modeling and Assimilation Office, inst3 3d asm Nv: MERRA-2 3D As-
315 simulated Meteorological Fields 3-hourly (model level, 0.625x0.5L42), version 5.12.4. Green-
316 belt, MD, USA: Goddard Space Flight Center Distributed Active Archive Center (GSFC
317 DAAC), accessed June 2016, doi:10.5067/WWQSQ8IVFW8.

318 GMAO, 2015b: Global Modeling and Assimilation Office, instM 3d asm Np: MERRA-2 3D
319 IAU State, Meteorology Monthly Averaged 3-hourly (p-coord, 0.625x0.5L42), version 5.12.4.

320 Greenbelt, MD, USA: Goddard Space Flight Center Distributed Active Archive Center (GSFC
321 DAAC), accessed June 2016, doi:10.5067/2E096JV59PK7.

322 Hamilton, K., 1982: Some features of the climatology of the Northern Hemisphere stratosphere
323 revealed by NMC upper atmosphere analyses. *J. Atmos. Sci.*, **39**, 2737–2749, doi:http://dx.doi.
324 org/10.1175/1520-0469(1982)039<2737:SFOTCO>2.0.CO;2.

325 Holt, L. A., M. J. Alexander, L. Coy, A. Molod, W. Putman, and S. Pawson, 2016: Tropical waves
326 and the quasi-biennial oscillation in a 7-km global climate simulation. *J. Atmos. Sci.*, in press.

327 Kawatani, Y., K. Hamilton, K. Miyazaki, M. Fujiwara, and J. A. Anstey, 2016: Representation of
328 the tropical stratospheric zonal wind in global atmospheric reanalyses. *Atmos. Chem. Phys.*, **16**,
329 6681–6699, doi:10.5194/acp-16-6681-2016.

330 Lindzen, R. S., and J. R. Holton, 1968: A theory of the quasi-biennial oscillation. *J. Atmos. Sci.*,
331 **25**, 1095–1107.

332 Matsuno, T., 1970: Vertical propagation of stationary planetary waves in the winter North-
333 ern Hemisphere. *J. Atmos. Sci.*, **27**, 871–883, doi:http://dx.doi.org/10.1175/1520-0469(1970)
334 027<0871:VPOSPW>2.0.CO;2.

335 Molod, A., L. Takacs, M. Suarez, and J. Bacmeister, 2015: Development of the GEOS-5 atmo-
336 spheric general circulation model: Evolution from MERRA to MERRA2. *Geosci. Model Dev.*,
337 **8**, 1339–1356, doi:doi:10.5194/gmd-8-1339-2015.

338 Newman, P. A., L. Coy, and S. Pawson, 2016: The unusual quasi-biennial oscillation structure of
339 2016. *Geophys. Res. Lett.*, submitted.

340 O’Sullivan, D., 1997: Interaction of extratropical Rossby waves with westerly quasi-biennial os-
341 cillation winds. *J. Geophys. Res.*, **102**, 19 461–19 469.

342 Pawson, S., K. Labitzke, R. Lenschow, B. Naujokat, B. Rajewski, M. Wiesner, and R.-C. Wohlfart,
343 1993: Climatology of the Northern Hemisphere stratosphere derived from Berlin analyses. Part
344 1: Monthly means. Neue folge, ser. a, Meteorologische Abhandlungen der Freien Universität
345 Berlin.

346 Plumb, R. A., and R. C. Bell, 1982: A model of the quasi-biennial oscillation on an equatorial
347 beta-plane. *Q.J.R. Meteorol. Soc.*, **108**, 335–352, doi:10.1002/qj.49710845604.

348 Scaife, A. A., and Coauthors, 2014: Predictability of the quasi-biennial oscillation and its
349 northern winter teleconnection on seasonal to decadal timescales. *Geophysical Research*
350 *Letters*, **41** (5), 1752–1758, doi:10.1002/2013GL059160, URL [http://dx.doi.org/10.1002/](http://dx.doi.org/10.1002/2013GL059160)
351 [2013GL059160](http://dx.doi.org/10.1002/2013GL059160), 2013GL059160.

352 **LIST OF TABLES**

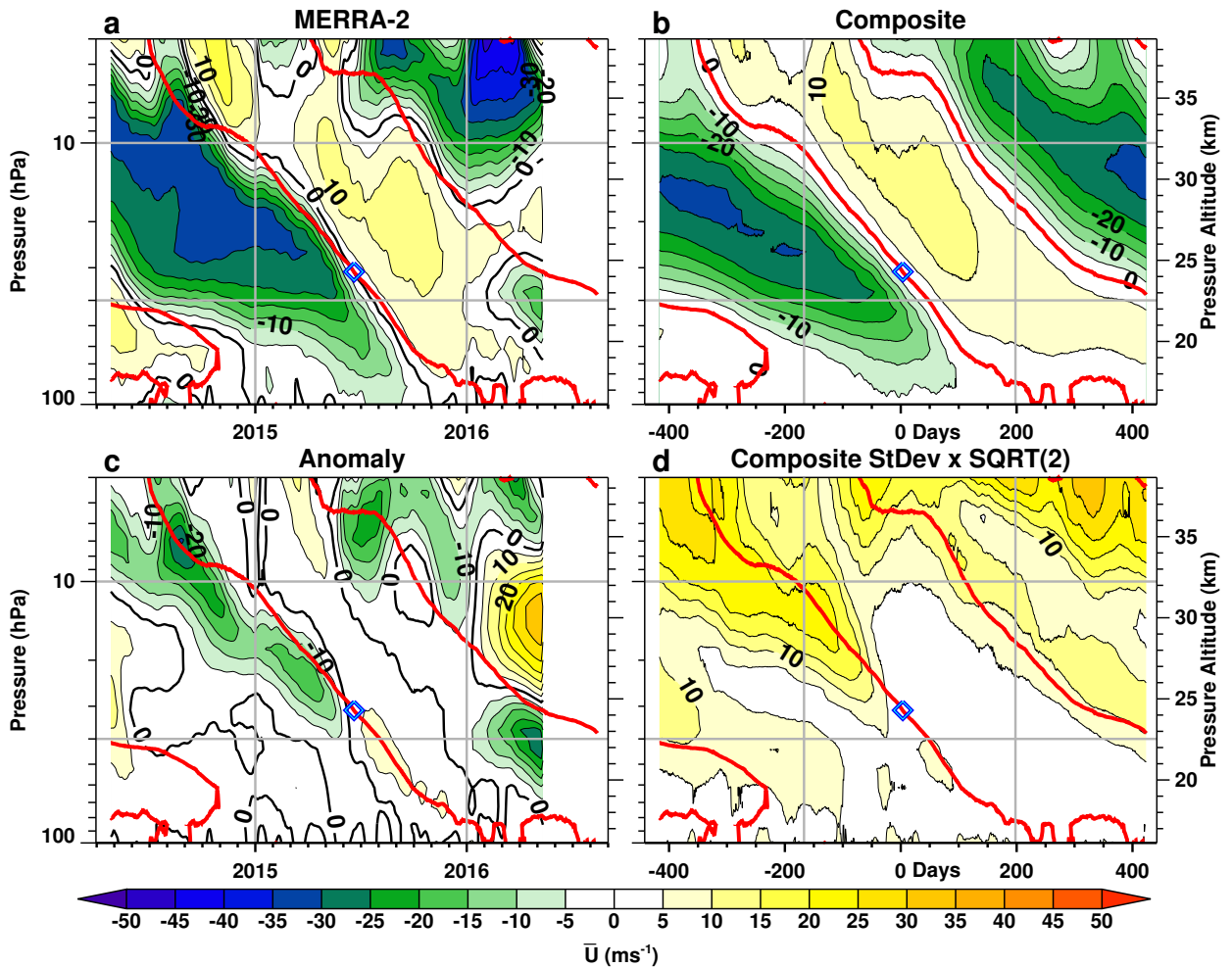
353 **Table 1.** Comparison of MERRA-2 monthly equatorial (10°S-10°N) momentum flux
354 convergence at 40 hPa for 2015–16 and 2013–14 in $\text{m s}^{-1} \text{ month}^{-1}$. Third and
355 forth row are the mean and standard deviations of the flux convergence for all
356 the months (Jan 1980–Mar 2015) with westerly zonal mean zonal winds at 40
357 hPa ($\text{m s}^{-1} \text{ month}^{-1}$). Negative values denote easterly acceleration. Bottom
358 three rows give the non-dimensional ratio of 2015–16 (top row) values to the
359 values in rows 2–4 respectively. 19

360 TABLE 1. Comparison of MERRA-2 monthly equatorial (10°S-10°N) momentum flux convergence at 40 hPa
 361 for 2015–16 and 2013–14 in $\text{m s}^{-1} \text{ month}^{-1}$. Third and fourth row are the mean and standard deviations of
 362 the flux convergence for all the months (Jan 1980–Mar 2015) with westerly zonal mean zonal winds at 40 hPa
 363 ($\text{m s}^{-1} \text{ month}^{-1}$). Negative values denote easterly acceleration. Bottom three rows give the non-dimensional
 364 ratio of 2015–16 (top row) values to the values in rows 2–4 respectively.

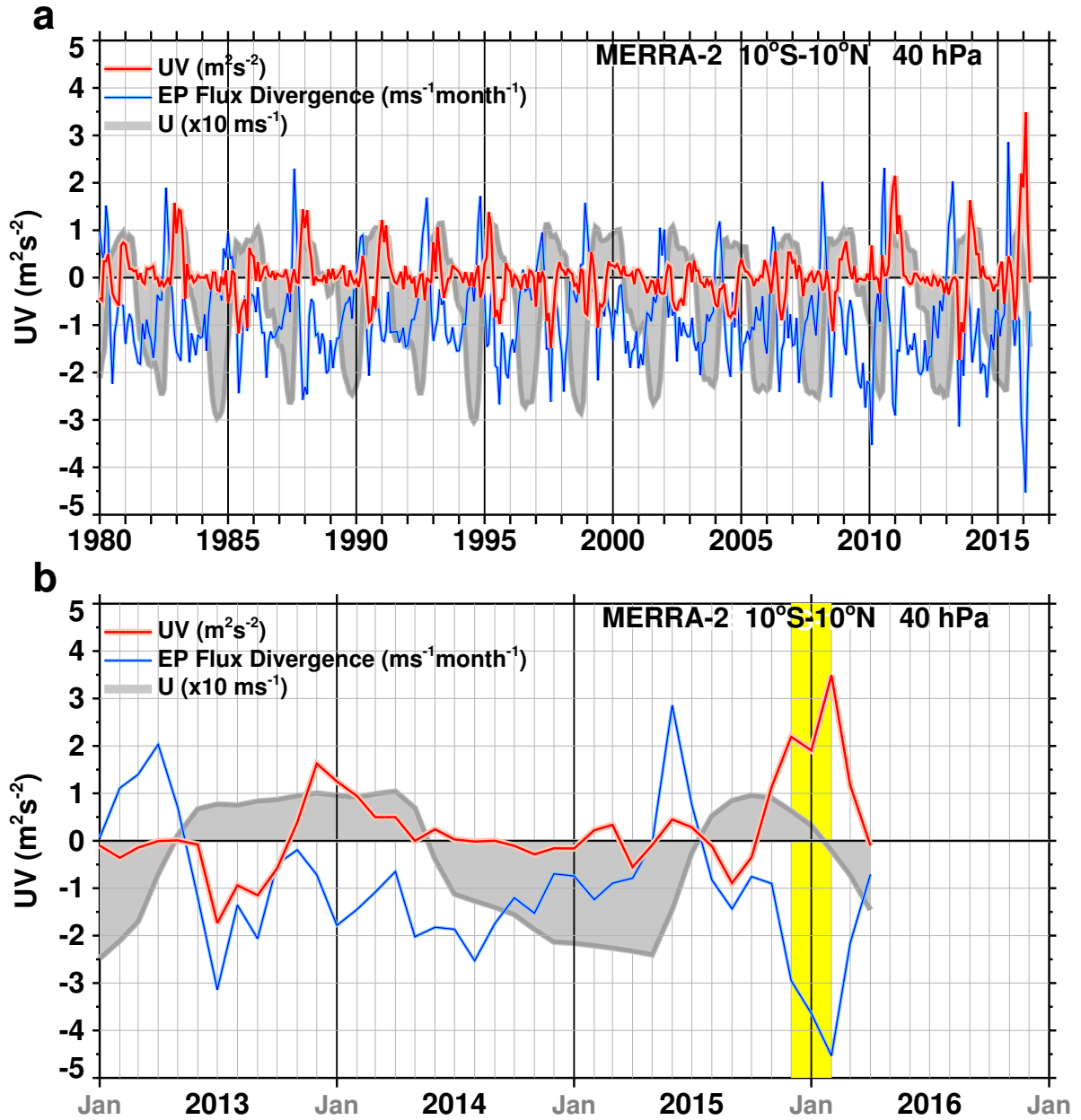
	<i>Nov</i>	<i>Dec</i>	<i>Jan</i>	<i>Feb</i>	<i>Mar</i>
2015-16	-0.75	-1.98	-2.69	-2.99	-1.48
2013-14	0.07	-0.29	-1.38	-1.08	-0.87
Mean (W)	-0.13	-0.56	-0.83	-0.85	-0.52
StdDev (W)	0.43	0.80	0.73	0.64	0.54
Ratio (15/13)	11.33	6.88	1.95	2.78	1.70
Ratio (15/W)	5.70	3.55	3.23	3.51	2.86
Ratio (15/SD)	1.75	2.48	3.69	4.67	2.76

LIST OF FIGURES

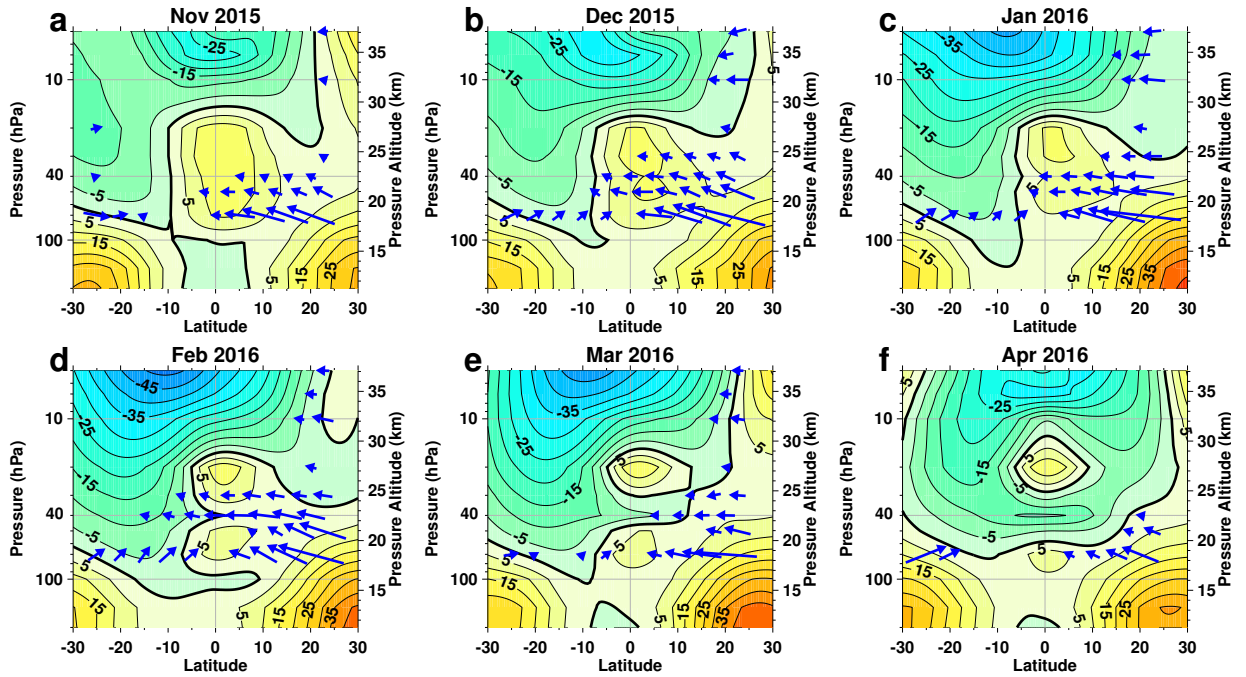
365		
366	Fig. 1.	Zonal mean zonal wind component, \bar{u} (m s^{-1}), as a function of time and pressure: a) MERRA-2 wind analysis from May 2014 to May 2016, b) MERRA-2 composite based on 14 easterly to westerly wind transitions at 30 hPa, c) the wind analysis for 2015–2016 minus the composite, and d) the standard deviation ($\times\sqrt{2}$) of the 14 composite members. The red contours denote the composited zero wind. The Blue diamond denotes the compositing reference point. The winds are averaged from 10°S – 10°N 21
367		
368		
369		
370		
371		
372	Fig. 2.	a) January 1980 to May 2016, monthly averaged, 10°S – 10°N averaged, 40 hPa, MERRA-2, momentum flux (red, m^2s^{-2}), EP flux divergence (blue, $\text{m s}^{-1}\text{month}^{-1}$), and zonal mean zonal wind (gray, $\times 10 \text{ m s}^{-1}$). Vertical lines denote the start of a year; b) expanded time coordinate to highlight years 2013–2016. Yellow shading denotes months Dec 2015–Feb 2016. 22
373		
374		
375		
376		
377	Fig. 3.	Monthly averaged zonal mean zonal wind plotted from 30°S – 30°N and from 200–4 hPa for the months: a) Nov 2015, b) Dec 2015, c) Jan 2016, d) Feb 2016, e) Mar 2016, and f) Apr 2016. Westerlies are yellow-red and easterlies are green-blue with 5 m s^{-1} contours. Also plotted are the EP flux vectors (blue arrows) at 70 hPa and above. 23
378		
379		
380		
381	Fig. 4.	The December monthly average EPV (1 Potential Vorticity Unit, $\text{PVU} = 10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$) at 40 hPa with the December MERRA-2 climate mean (1980–2014) subtracted for a) 2015 and b) 2013. 24
382		
383		
384	Fig. 5.	EPV (1 Potential Vorticity Unit, $\text{PVU} = 10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$) on the 530 K potential temperature surface for 20 December 00 UTC 2013 (left) and 2015 (right). The green colors denote values from ~ -15 – 15 PVU , red denote values $>100 \text{ PVU}$, and purple denote values $<-50 \text{ PVU}$. The 530 K surface is approximately at 40 hPa near the equator. 25
385		
386		
387		
388	Fig. 6.	Zonally averaged momentum (a) and heat (b) fluxes at 40 hPa for February 2016 (red curve, 10°S – 10°N) and plotted as functions of latitude. The values are non-dimensional in terms of standard deviations over the years 1980–2014. The gray shaded regions denotes the February normalized range over 1980–2014. 26
389		
390		
391		
392	Fig. 7.	February zonally averaged momentum flux for a) 2016, b) 2014, c) 2011, and d) 1998 as function of latitude and pressure. The values are non-dimensional in terms of standard deviations over the years 1980–2014 with a contour interval of one standard deviation. Negative values are shaded gray. The red horizontal line denotes the 40 hPa level. 27
393		
394		
395		
396	Fig. 8.	Same as Fig. 7 for heat flux. 28
397	Fig. 9.	EPV on the 530 K potential temperature surface for 00 UTC on a) January 31, b) February 5, c) February 10, and d) February 15 of 2016. Colors are as in Fig. 5. 29
398		
399	Fig. 10.	The vertical component of the residual mean circulation (km month^{-1}) averaged Dec 2015 – Feb 2016 as a function of latitude and pressure. The multi year (Dec 1980– Feb 2015) monthly means have been subtracted. Negative values are shaded. 30
400		
401		



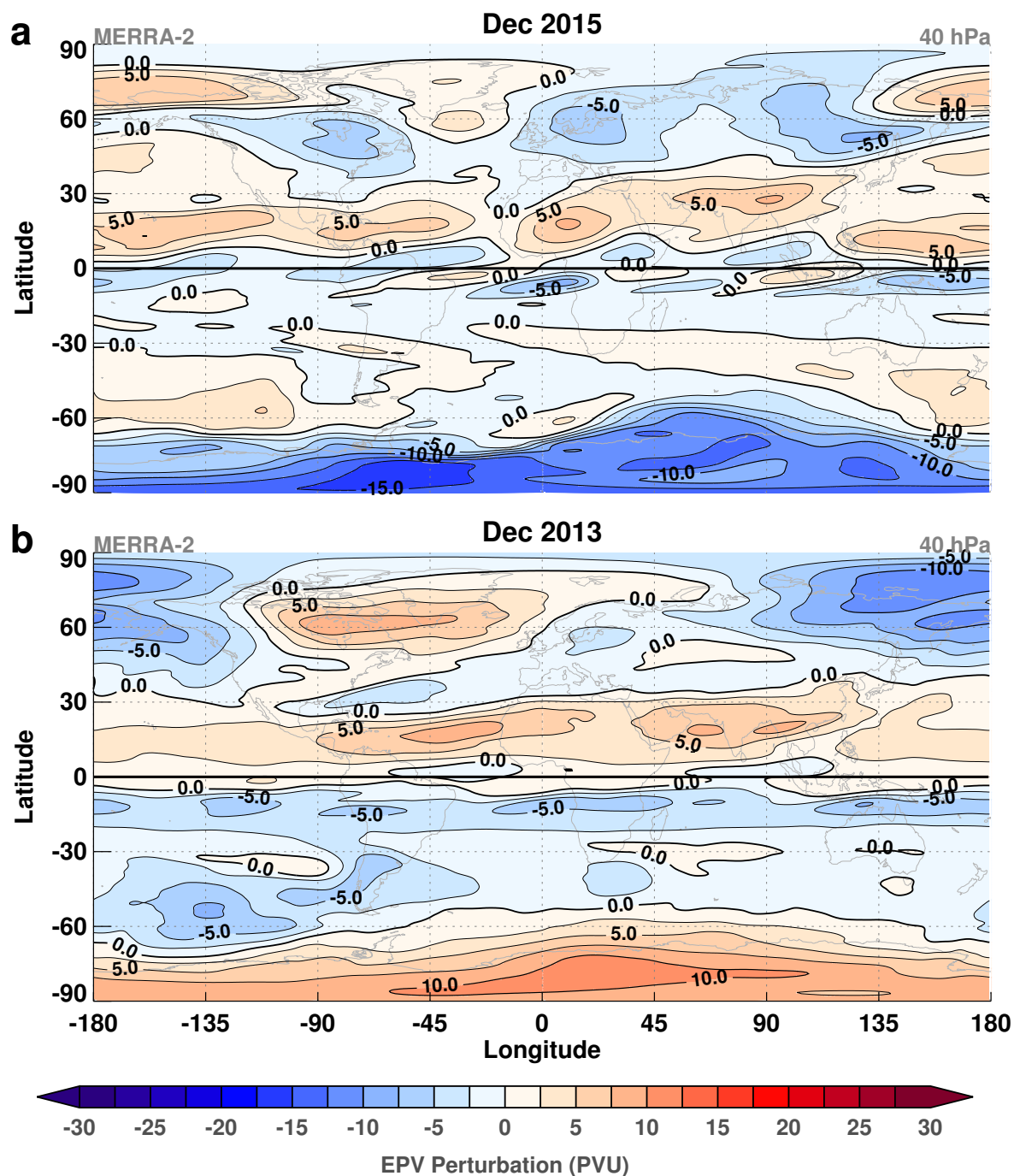
402 FIG. 1. Zonal mean zonal wind component, \bar{u} (m s^{-1}), as a function of time and pressure: a) MERRA-2 wind
 403 analysis from May 2014 to May 2016, b) MERRA-2 composite based on 14 easterly to westerly wind transitions
 404 at 30 hPa, c) the wind analysis for 2015–2016 minus the composite, and d) the standard deviation ($\times\sqrt{2}$) of the
 405 14 composite members. The red contours denote the composited zero wind. The Blue diamond denotes the
 406 compositing reference point. The winds are averaged from 10°S – 10°N .



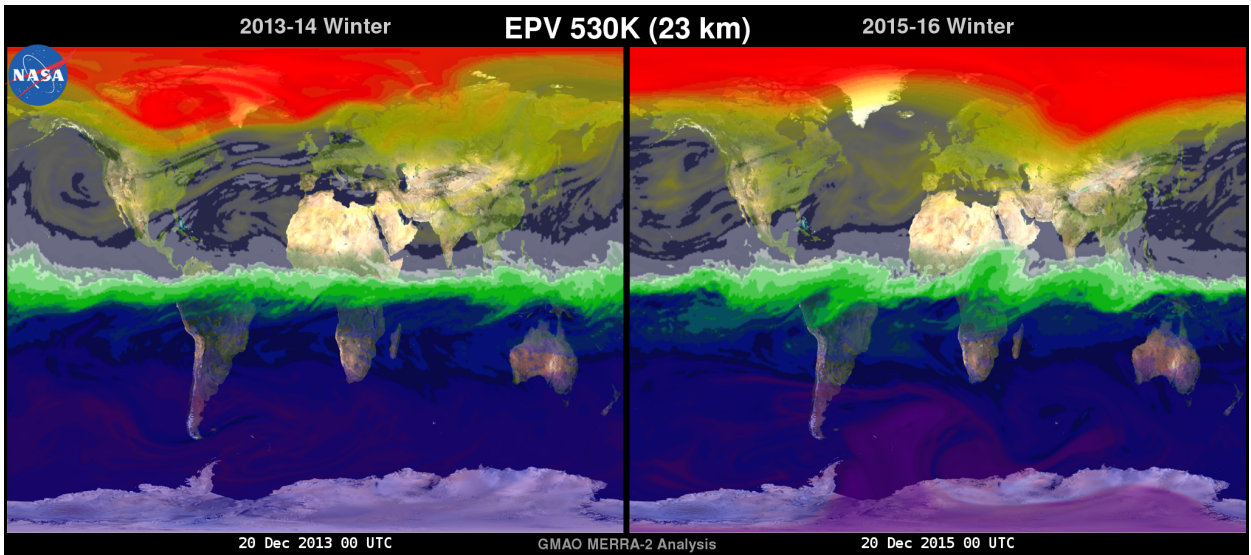
407 FIG. 2. a) January 1980 to May 2016, monthly averaged, 10°S–10°N averaged, 40 hPa, MERRA-2, momen-
 408 tum flux (red, m^2s^{-2}), EP flux divergence (blue, $\text{m s}^{-1}\text{month}^{-1}$), and zonal mean zonal wind (gray, $\times 10 \text{m s}^{-1}$).
 409 Vertical lines denote the start of a year; b) expanded time coordinate to highlight years 2013–2016. Yellow
 410 shading denotes months Dec 2015–Feb 2016.



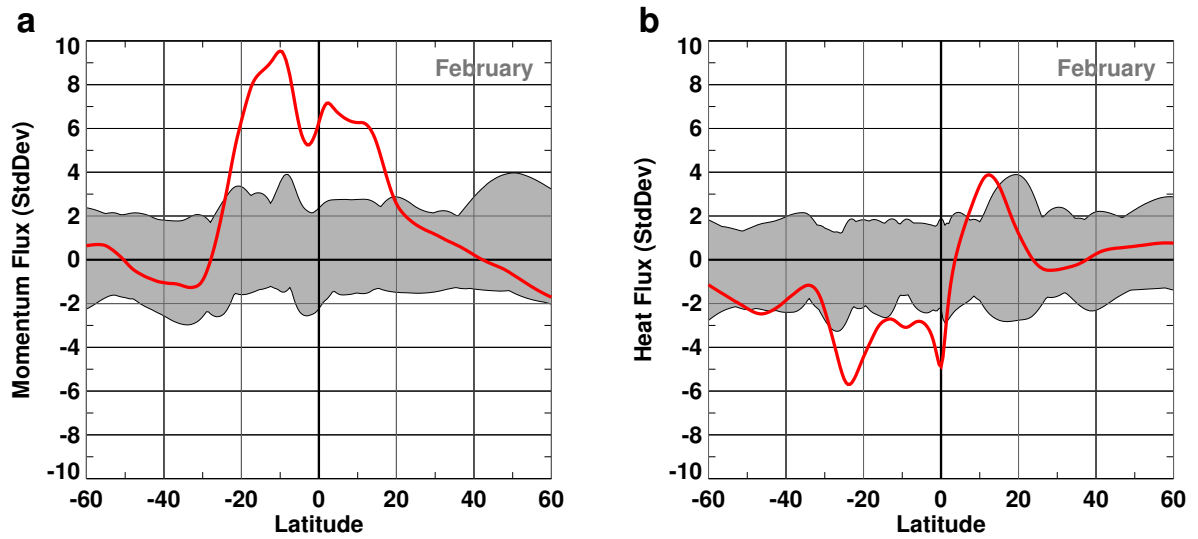
411 FIG. 3. Monthly averaged zonal mean zonal wind plotted from 30°S-30°N and from 200–4 hPa for the months:
 412 a) Nov 2015, b) Dec 2015, c) Jan 2016, d) Feb 2016, e) Mar 2016, and f) Apr 2016. Westerlies are yellow-red
 413 and easterlies are green-blue with 5 m s⁻¹ contours. Also plotted are the EP flux vectors (blue arrows) at 70 hPa
 414 and above.



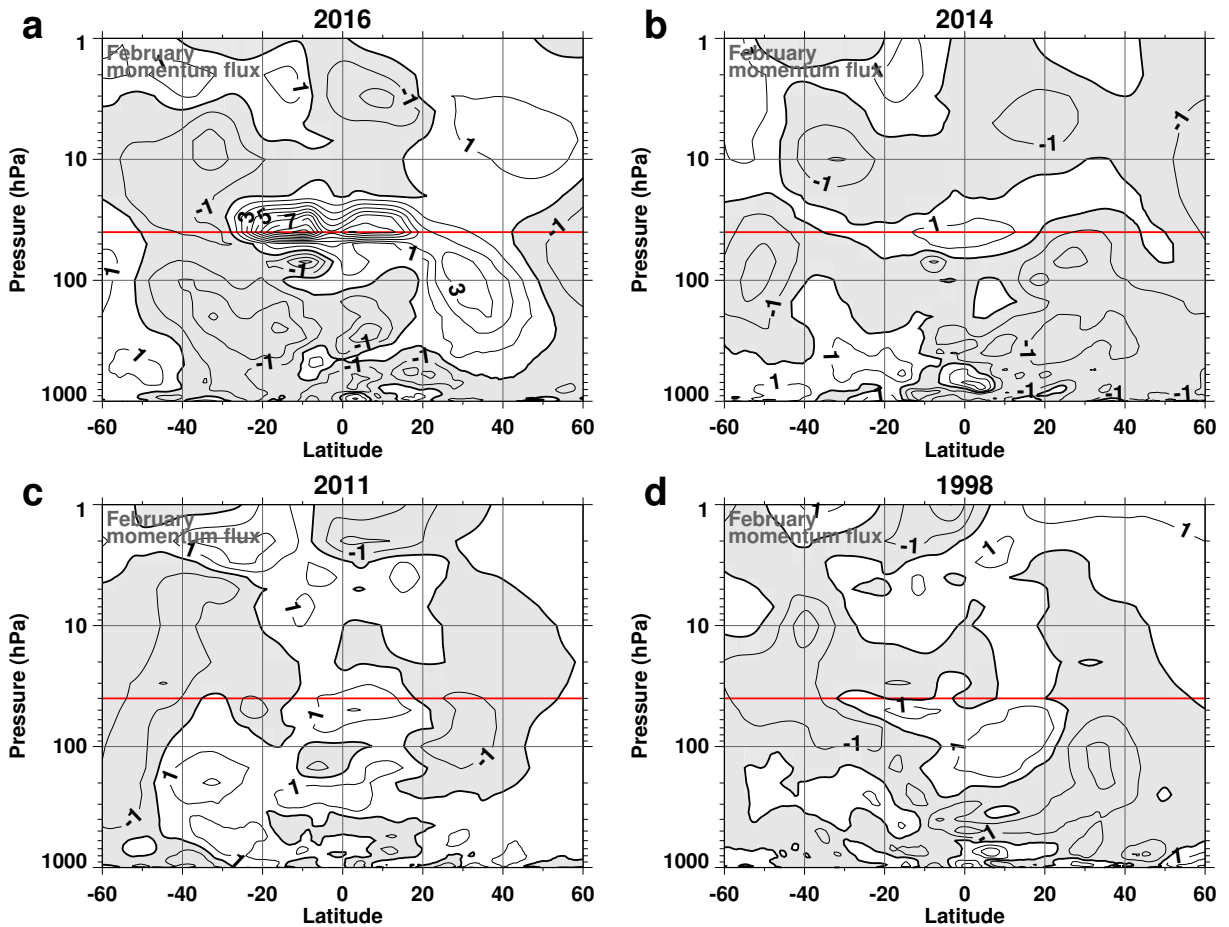
415 FIG. 4. The December monthly average EPV (1 Potential Vorticity Unit, $\text{PVU} = 10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$) at
 416 40 hPa with the December MERRA-2 climate mean (1980–2014) subtracted for a) 2015 and b) 2013.



417 FIG. 5. EPV (1 Potential Vorticity Unit, $PVU = 10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$) on the 530 K potential temperature
 418 surface for 20 December 00 UTC 2013 (left) and 2015 (right). The green colors denote values from ~ -15 – 15
 419 PVU, red denote values >100 PVU, and purple denote values <-50 PVU. The 530 K surface is approximately
 420 at 40 hPa near the equator.



421 FIG. 6. Zonally averaged momentum (a) and heat (b) fluxes at 40 hPa for February 2016 (red curve, 10°S-
 422 10°N) and plotted as functions of latitude. The values are non-dimensional in terms of standard deviations over
 423 the years 1980–2014. The gray shaded regions denotes the February normalized range over 1980–2014.



424 FIG. 7. February zonally averaged momentum flux for a) 2016, b) 2014, c) 2011, and d) 1998 as function of
 425 latitude and pressure. The values are non-dimensional in terms of standard deviations over the years 1980–2014
 426 with a contour interval of one standard deviation. Negative values are shaded gray. The red horizontal line
 427 denotes the 40 hPa level.

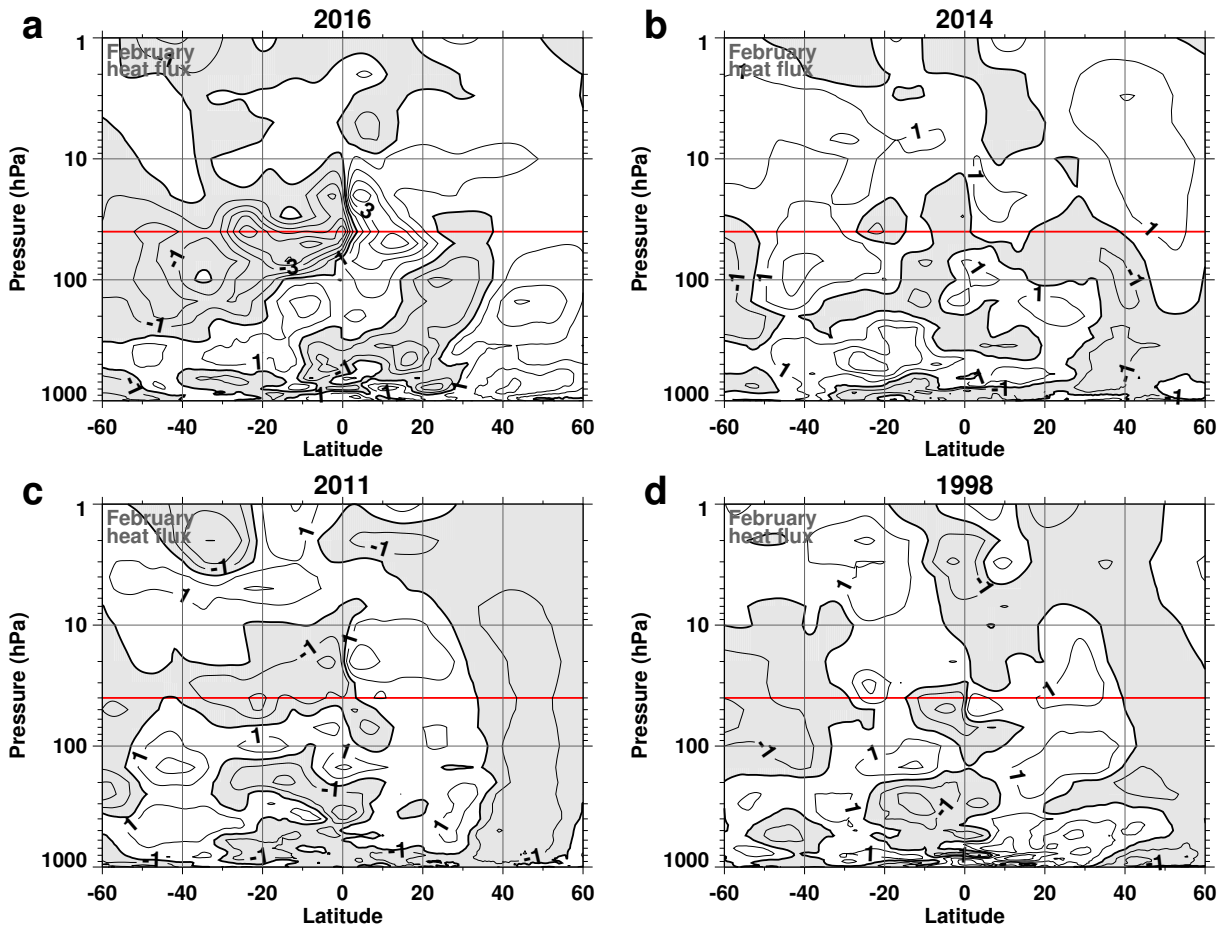
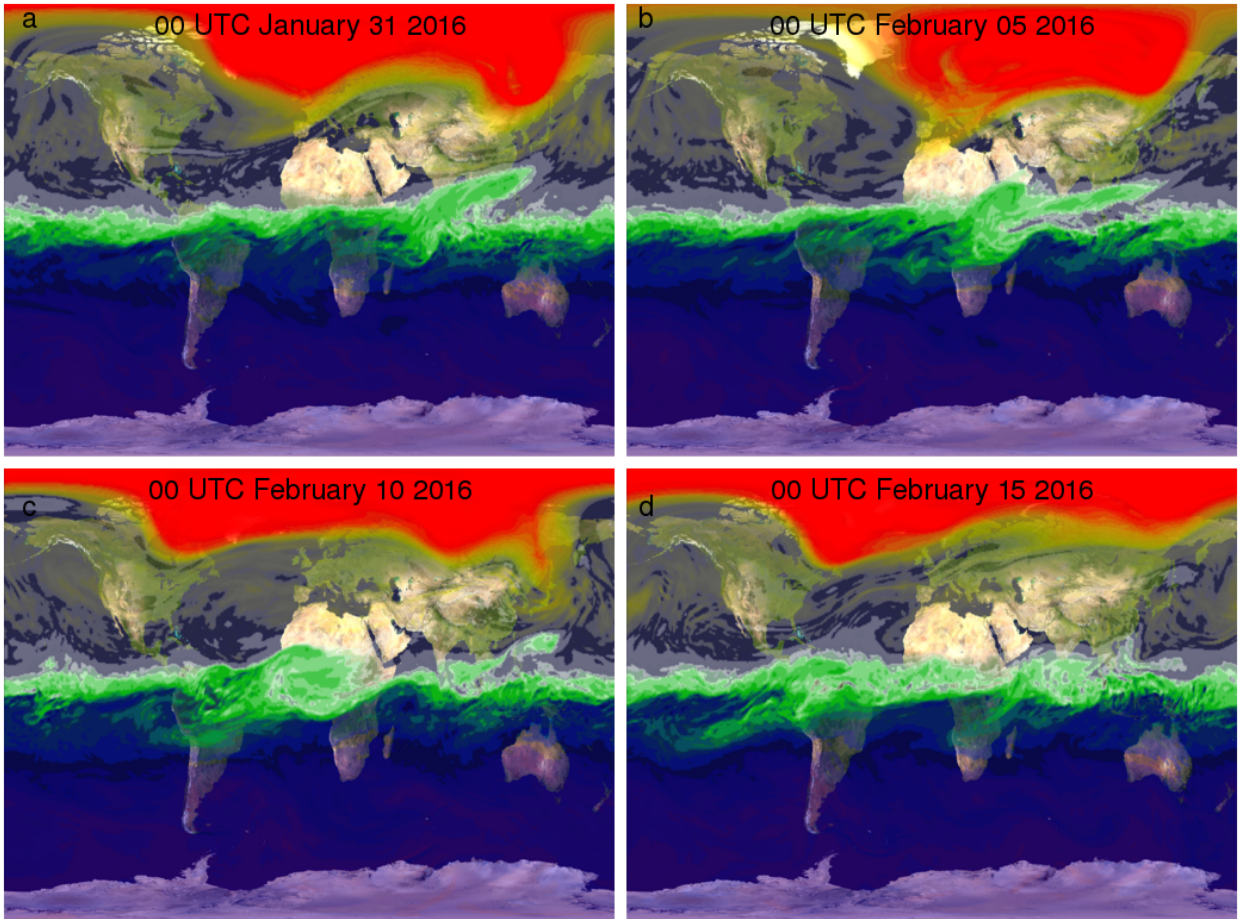
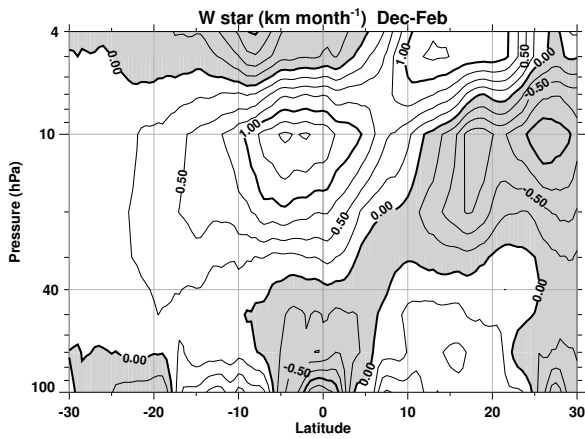


FIG. 8. Same as Fig. 7 for heat flux.



428 FIG. 9. EPV on the 530 K potential temperature surface for 00 UTC on a) January 31, b) February 5, c)
429 February 10, and d) February 15 of 2016. Colors are as in Fig. 5.



430 FIG. 10. The vertical component of the residual mean circulation (km month^{-1}) averaged Dec 2015 – Feb
 431 2016 as a function of latitude and pressure. The multi year (Dec 1980– Feb 2015) monthly means have been
 432 subtracted. Negative values are shaded.



[Click here to access/download](#)

Supplemental Material

epv_530_1314_1516_m2.mp4

